

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc,

Case No. 08-13555

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Mirabaud et Cie, S.A., Geneve

Name of Transferee

Name and Address where notices to transferee should be sent:

29 Boulevard Georges-Favon  
CH - 1204 Geneve

Phone: +41 58 816 22 96

Last Four Digits of Acct #: 6627

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Bank Julius Baer & Co. Ltd

Name of Transferor

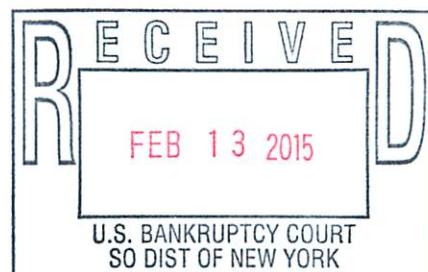
Court Claim # (if known): 66929-05

Amount of Claim: \$350,000.00

Date Claim Filed: 10/30/2009

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Mirabaud & Cie S.A.  
Transferee/Transferee's Agent

Date: 02/11/2015

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Wey Renate  
Directeur Adjoint

Miguel Rudaz  
Vice President

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Julius Baer & Co. Ltd.** ("Transferor") unconditionally and irrevocably transferred to **Mirabaud et Cie, S.A., Geneve** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **66929-05**) in the amount of **350,000 USD** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 9 February 2015.

Bank Julius Baer & Co. Ltd.



Marc Blum  
Director



Fabian Burckhardt  
Executive Director

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

| <b>ISIN / Description</b> | <b>Court Claim #</b> | <b>Date Claim Filed</b> | <b>Issuer</b>                   | <b>Face Amount</b> |
|---------------------------|----------------------|-------------------------|---------------------------------|--------------------|
| XS0327725106              | 66929-05             | 30 October 2009         | LEHMAN BROTHERS TREASURY CO. BV | 350,000 USD        |

**Mirabaud & Cie SA**

Boulevard Georges-Favon 29

1204 Genève  
Switzerland

---

**Liste des signatures autorisées**  
**Unterschriftenverzeichnis**  
**List of Authorized Signatures**

**Mirabaud & Cie SA**

Boulevard Georges-Favon 29

1204 Genève  
Switzerland

---

**SIGNATURE SOCIALE**

Les directeurs, les directeurs adjoints, les sous-directeurs et les fondés de pouvoir signent collectivement à deux entre eux ou avec un mandataire commercial.

Les mandataires commerciaux signent conjointement avec un directeur, un directeur adjoint, un sous-directeur ou un fondé de pouvoir. Leur mandat selon l'art. 462 du Code des obligations s'étend aussi aux engagements de change.

Une réglementation spéciale est applicable à la correspondance rédigée à l'aide de certaines formules; elle figure sur les formules en question.

**FIRMAZEICHUNG**

Die Direktoren, die stellvertretenden Direktoren, die Vizedirektoren und die Prokuristen zeichnen kollektiv zu zweien untereinander oder mir einem Handlungsbevollmächtigten.

Die Handlungsbevollmächtigten zeichnen kollektiv mit einem Direktor, mit einem Stellvertretenden Direktor, mit einem Vizedirektor oder mit einem Prokuristen. Ihr Mandat, gemäss Art. 462 des Obligationenrechtes, erstreckt sich auch auf das Eingehen von Wechselverbindlichkeiten.

Für bestimmte Formularkorrespondenzen besteht eine besondere Unterschriftenregelung, die durch Aufdruck auf den betreffenden Formularen bekanntgegeben wird.

**SIGNATURE POWERS**

Senior Vice Presidents, First Vice Presidents, Vice Presidents and Senior Officers are empowered to sign jointly one with another or with an Officer.

Officers are empowered to sign jointly with a Senior Vice President, First Vice President, Vice President or a Senior Officer. Their authority according to article 462 of the Swiss Federal Code of Obligations also includes the power to incur obligations by signing bills of exchange.

Special rules apply to correspondence conducted by means of certain printed forms and are set out thereon.

**Mirabaud & Cie SA**

Boulevard Georges-Favon 29

1204 Genève  
Switzerland

**Service Juridique**

---

**Christinaz Olivier**

Sous-Directeur  
Vizedirektor  
Vice President



---

**Fais Lionel**

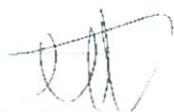
Sous-Directeur  
Vizedirektor  
Vice President



---

**Terrizzano Marion**

Mandataire Commercial  
Handlungsbevollmächtigte  
Officer



---

**Verne Stéphanie**

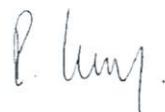
Sous-Directrice  
Vizedirektorin  
Vice President



---

**Wey Renate**

Directrice adjointe  
Stellvertretende Direktorin  
Senior Vice President



**Mirabaud & Cie SA**

Boulevard Georges-Favon 29

1204 Genève  
Switzerland

**Opérations**

---

**Rossi Pietro**

Sous-Directeur  
Vizedirektor  
Vice President



---

**Rudaz Miguel**

Sous-Directeur  
Vizedirektor  
Vice President



---

**Tomasino Yvan**

Fondé de pouvoir  
Prokurist  
Senior Officer

